



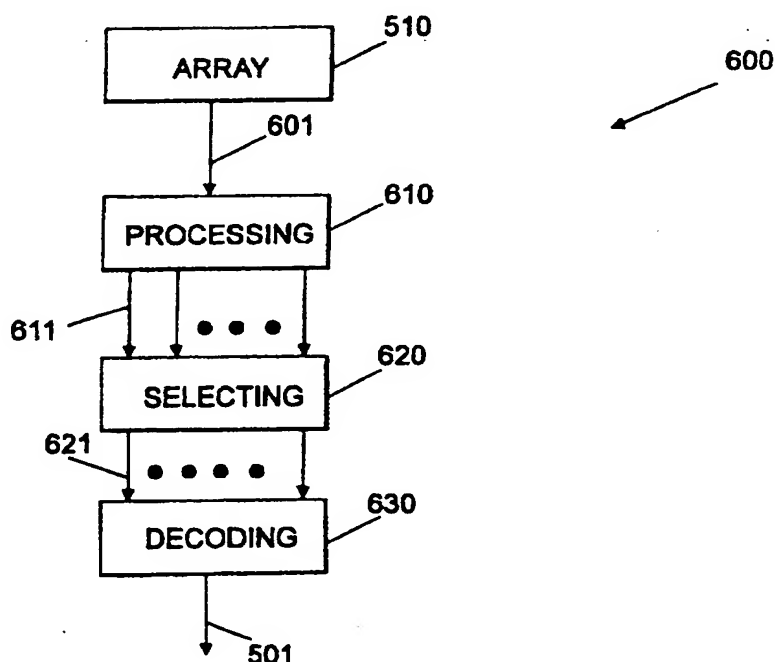
## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>6</sup> : <b>H04B 7/08, 7/10</b>		A1	(11) International Publication Number: <b>WO 97/28615</b>
			(43) International Publication Date: 7 August 1997 (07.08.97)
(21) International Application Number: PCT/US97/00592 (22) International Filing Date: 21 January 1997 (21.01.97) (30) Priority Data: 08/592,925 29 January 1996 (29.01.96) US (60) Parent Application or Grant (63) Related by Continuation US 08/592,925 (CON) Filed on 29 January 1996 (29.01.96) (71) Applicant (for all designated States except US): ERICSSON INC. [US/US]; 1 Triangle Drive, P.O. Box 13969, Research Triangle Park, NC 27709 (US). (72) Inventor; and (75) Inventor/Applicant (for US only): MOLNAR, Karl, James [US/US]; 110 Flying Leaf Court, Cary, NC 27513 (US). (74) Agents: BIGEL, Mitchell, S. et al.; Bell, Seltzer, Park & Gibson, P.O. Drawer 34009, Charlotte, NC 28234 (US).		(81) Designated States: AL, AM, AT, AT (Utility model), AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, CZ (Utility model), DE, DE (Utility model), DK, DK (Utility model), EE, EE (Utility model), ES, FI, FI (Utility model), GB, GE, HU, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SK (Utility model), TJ, TM, TR, TT, UA, UG, US, UZ, VN, ARIPO patent (KE, LS, MW, SD, SZ, UG), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).  Published With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.	

(54) Title: METHOD AND APPARATUS FOR CELLULAR RADIOTELEPHONE BASE STATIONS USING SELECTED MULTIPLE DIVERSITY RECEPTION

## (57) Abstract

A cellular radiotelephone base station communicates with cellular radiotelephones by receiving cellular radiotelephone communications energy (601) from cellular radiotelephones on an antenna array (510), processing (610) the received communications energy to produce at least three processed radiotelephone communications signals (611) representing communications energy received from a coverage sector, and selecting (620) at least two of the processed signals (621) for decoding (630) in a conventional decoder. Preferably, the selected signals are the processed signals having the highest power or signal quality. Diversity gain is achieved by processing the received communications energy to produce at least two processed first polarization signals representing received communications energy having a first polarization and at least two processed second polarization signals representing received communications energy having a second polarization, and selecting at least one of the processed first polarization signals and at least one of the second polarization processed signals for decoding. Preferably the selected processed first polarization and second polarization signals are the signals from each polarization having the highest power or signal quality.



**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AM	Armenia	GB	United Kingdom	MW	Malawi
AT	Austria	GE	Georgia	MX	Mexico
AU	Australia	GN	Guinea	NE	Niger
BB	Barbados	GR	Greece	NL	Netherlands
BE	Belgium	HU	Hungary	NO	Norway
BF	Burkina Faso	IE	Ireland	NZ	New Zealand
BG	Bulgaria	IT	Italy	PL	Poland
BJ	Benin	JP	Japan	PT	Portugal
BR	Brazil	KE	Kenya	RO	Romania
BY	Belarus	KG	Kyrgyzstan	RU	Russian Federation
CA	Canada	KP	Democratic People's Republic of Korea	SD	Sudan
CF	Central African Republic	KR	Republic of Korea	SE	Sweden
CG	Congo	KZ	Kazakhstan	SG	Singapore
CH	Switzerland	LI	Liechtenstein	SI	Slovenia
CI	Côte d'Ivoire	LK	Sri Lanka	SK	Slovakia
CM	Cameroon	LR	Liberia	SN	Senegal
CN	China	LT	Lithuania	SZ	Swaziland
CS	Czechoslovakia	LU	Luxembourg	TD	Chad
CZ	Czech Republic	LV	Latvia	TG	Togo
DE	Germany	MC	Monaco	TJ	Tajikistan
DK	Denmark	MD	Republic of Moldova	TT	Trinidad and Tobago
EE	Estonia	MG	Madagascar	UA	Ukraine
ES	Spain	ML	Mali	UG	Uganda
FI	Finland	MN	Mongolia	US	United States of America
FR	France	MR	Mauritania	UZ	Uzbekistan
GA	Gabon			VN	Viet Nam

-1-

## METHOD AND APPARATUS FOR CELLULAR RADIOTELEPHONE BASE STATIONS USING SELECTED MULTIPLE DIVERSITY RECEPTION

### Field of the Invention

The present invention relates generally to communications systems, more specifically, to cellular radio communications base stations and associated  
5 methods.

### Background of the Invention

Cellular communications systems are commonly employed to provide voice and data communications to a plurality of mobile units or subscribers. Analog  
10 cellular systems, such as designated AMPS, ETACS, NMT-450, and NMT-900, have been deployed successfully throughout the world. More recently, digital cellular systems such as designated IS-54B in North America and the pan-European GSM system have been introduced.  
15 These systems, and others, are described, for example, in the book titled *Cellular Radio Systems* by Balston, et al., published by Artech House, Norwood, MA., 1993.

Frequency reuse is commonly employed in cellular technology wherein groups of frequencies are  
20 allocated for use in regions of limited geographic coverage known as cells. Cells containing equivalent groups of frequencies are geographically separated to allow mobile units in different cells to simultaneously use the same frequency without interfering with each  
25 other. By so doing many thousands of subscribers may be served by a system of only several hundred frequencies. In the United States, for example, Federal authorities have allocated to cellular communications a block of the UHF frequency spectrum  
30 further subdivided into pairs of narrow frequency bands called channels. Channel pairing results from the frequency duplex arrangement wherein the transmit and

-2-

receive frequencies in each pair are offset by 45 MHz. At present there are 832, 30-KHz wide, radio channels allocated to cellular mobile communications in the United States. To address the capacity limitations of this analog system a digital transmission standard has been provided, designated IS-54B, wherein these frequency channels are further subdivided into 3 time slots.

As illustrated in FIG. 1, a cellular communication system 20 as in the prior art includes one or more mobile stations or units 21, one or more base stations 23 and a mobile telephone switching office (MTSO) 25. Although only three cells 36 are shown in FIG. 1, a typical cellular network may comprise hundreds of base stations, thousands of mobile stations and more than one MTSO. Each cell will have allocated to it one or more dedicated control channels and one or more voice channels. A typical cell may have, for example, one control channel, and 21 voice/data, or traffic, channels. The control channel is a dedicated channel used for transmitting cell identification and paging information. The traffic channels carry the voice and data information.

The MTSO 25 is the central coordinating element of the overall cellular network 20. It typically includes a cellular processor 28, a cellular switch 29 and also provides the interface to the public switched telephone network (PSTN) 30. Through the cellular network 20, a duplex radio communication link 32 may be effected between two mobile stations 21 or, between a mobile station 21 and a landline telephone user 33. The function of the base station 23 is commonly to handle the radio communication with the mobile station 21. In this capacity, the base station 23 functions chiefly as a relay station for data and voice signals. The base station 23 also supervises the

-3-

quality of the link 32 and monitors the received signal strength from the mobile station 21.

A typical base station 23 as in the prior art is schematically illustrated in FIG. 2 which shows, as  
5 an example, the functional components of model number RBS 882 manufactured by Ericsson Telecom AB, Stockholm, Sweden for the CMS 8800 cellular mobile telephone system. A full description of this analog cellular network is provided in publication number EN/LZT 101  
10 908 R2B, published by Ericsson Telecom AB.

A now common sight along many highways, the base station 23 includes a control unit 34 and an antenna tower 35. The control unit 34 comprises the base station electronics and is usually positioned  
15 within a ruggedized enclosure at, or near, the base of the tower. Within this enclosure are the radio control group 37, or RCG, an exchange radio interface (ERI) 38 and a primary power supply 41 for converting electric power from the AC grid to power the individual  
20 components within the base station 23, and a backup power supply 42.

The ERI 38 provides signals between the MTSO 25 and the base station 23. The ERI 38 receives data from the RCG 37 and transfers it to the MTSO 25 on a  
25 dedicated MTSO-BS link 45. In the reverse direction, the ERI 38 receives data from the MTSO 25 and sends it the RCG 37 for subsequent transmission to a mobile station 21.

The radio control group 37 includes the  
30 electronic equipment necessary to effect radio communications. A functional block diagram of an RCG 37 as in the prior art is shown in FIG. 3. The configuration shown illustrates one control channel transmit/receive module (TRM) 51, a number of voice  
35 channel TRMs 52, and one signal strength receiver 53, as is a typical configuration required to serve one cell or sector of a cell. Each TRM 51, 52 includes a

- 4 -

respective transmitter 54, receiver 55 and control unit 57. The TRMs 51, 52 typically are not frequency agile and operate instead on only one predetermined channel. Control signals from the ERI 38 are received by the individual control units 57. Voice and data traffic signals are routed over a separate interface to the ERI 38.

Each individual transmitter 54 for control and voice is connected to a transmit combiner 58. The transmit combiner combines all of the input signals onto a single output coupled through a coaxial cable 62 to the transmit antenna 63. Through the use of the combiner 58, up to 16 transmitters 54 can typically be connected to a common transmit antenna 63. The combiner 58 is used because there is often a premium for space on the masts and towers used to support the antennas. In an extreme case, one mast may be required to support over 100 radio channels.

One visible feature of a typical base station 23 is the antenna tower 35. In order to achieve a reasonable coverage area, the antennas 63, 65 are desirably mounted at some distance above the ground. Referring now additionally to the prior art schematic plan view illustration of FIG. 4A, in rural areas the towers 35 are commonly located at the center of a cell 36 thereby providing omni-directional coverage. In an omni-directional cell, the control channel(s) and the active voice channel(s) are broadcast in all areas of the cell -- usually from a single antenna. Where base stations 23 are more densely located, a sectorized antenna system may be employed as in the prior art, and shown by the schematic diagram of FIG. 4B. Sectorization requires directional antennas 70 having, for example, a 120 degree radiation pattern as illustrated in FIG. 4B. Each sector 71 is itself a cell having its own control channel(s) and traffic channel(s). Note that "channel" may refer to a

- 5 -

specific carrier frequency in an analog system or to a specific carrier/slot combination in a hybrid TDMA/FDMA system, such as IS-54 and GSM.

Each of two receive antennas 65 is coupled to a respective receive combiner 66A, 66B where the signals received are separated according to frequency and passed on to the individual receivers 55 in each of the TRMs 51, 52. The signals received often suffer from the detrimental effects of interference and fading. For this reason the two receive antennas 65 are typically spaced a distance apart, often more than ten times the carrier signal wavelength, so that they may receive signals from different signal paths which exhibit uncorrelated fading, thereby providing spatial diversity reception.

Diversity reception involves combining signals from two or more antennas. There are many conventional techniques for both pre-detection and post-detection diversity combining which are described, for example, in Chapter 10 of the book entitled "*Mobile Communications Engineering*", by William C.Y. Lee, published by McGraw-Hill, 1992. A typical diversity combiner takes a signal from each of two antennas, assigns a weighting factor to each signal according to indices of quality, such as carrier-to-noise ratio or mean signal power, and combines the signals according to these weighting factors to produce a single enhanced quality signal.

Despite the theoretical advantages of space diversity in cellular system base station receiving antennas, sufficient separation may not always be obtainable. In other words, the antenna tower may not permit a sufficient physical separation of receive antennas to achieve uncorrelated fading for receive signals. This also applies in applications using beamforming techniques, which often require that elements of the receive antenna array be separated by a

-6-

wavelength or less. In addition, the orientation of the linearly polarized mobile antenna may not always be in alignment with the typically vertically polarized receive antenna at the base station, causing polarization mismatch fading.

It is known that polarization diversity reception may be used to enhance signal quality. Polarization diversity reception takes advantage of the low correlation between signals of differing polarizations. It involves combining signals of differing polarizations, typically orthogonal to each other, using diversity combining techniques similar to those employed in spatial diversity techniques. Polarization diversity reception is described, for example, in Chapter 9 of *"Mobile Communications Engineering"*, by William C.Y. Lee.

For both spatial and horizontal diversity reception, it is possible to achieve greater diversity gain by adding additional antennas and passing the additional signals received into a higher order diversity combiner. However, this approach increases computational and hardware complexity due to the need for a more complex higher-order diversity combiner. As many existing base stations employ two spatially diverse antennas coupled to a two-branch diversity combiner, adapting these stations for use with multiple antennas may necessitate replacement of existing two-branch combiners. Moreover, the increase in gain achieved with higher-order diversity combining generally diminishes with increasing order, and additional noise is injected from signal branches exhibiting poorer quality, such as low carrier-to-noise ratio or high levels of interference.

#### Summary of the Invention

In the light of the foregoing, it is therefore an object of the present invention to provide



-7-

cellular radiotelephone base stations capable of enhanced communication with cellular radiotelephones, particularly in view of fading and/or interference.

It is another object of the present invention  
5 to provide improved cellular radiotelephone base stations and methods for enhanced cellular radiotelephone communications without the need to add undue complexity to the design of cellular radiotelephone base station elements.

10 These and other objects, advantages, and features of the present invention are provided by a cellular radiotelephone base station which produces at least three processed radiotelephone communications signals from a cellular radiotelephone antenna array  
15 and signal selecting means for selecting at least two but less than all of the processed radiotelephone communications signals. The selected signals may then be passed to a decoder, such as a conventional two-branch combiner. The base station can thereby be  
20 adapted for use with multiple antennas, without requiring undue replacement of other hardware elements.

In particular, according to the present invention, a cellular radiotelephone base station includes a cellular radiotelephone receive antenna  
25 array for receiving cellular radiotelephone communications energy representing cellular radiotelephone communications from cellular radiotelephones. Cellular radiotelephone communications processing means is responsive to the  
30 antenna array, for processing the received cellular radiotelephone communications energy to produce at least three processed radiotelephone communications signals, each representing the cellular radiotelephone communications energy received from a coverage sector.  
35 Processed radiotelephone signal selecting means is responsive to the processing means, for selecting at least two but less than all of the at least three

-8-

processed radiotelephone communications signals.  
Decoding means is responsive to the signal selecting  
means, for decoding the radiotelephone communications  
from the at least two but less than all of the at least  
5 three processed radiotelephone communications signals.

The present invention offers the advantages  
of diversity reception of signals from multiple antenna  
arrays without requiring the increased complexity and  
cost of combining of all of the signals received from  
10 multiple antennas in a single diversity combiner, by  
selecting particular processed radiotelephone  
communications signals for input into a lower-order  
diversity combiner. The present invention can thus  
provide for a greater variety of combinations of  
15 processed radiotelephone communications signals. The  
present invention can also provide for enhanced  
diversity reception by preventing injection of noise  
from signals having poor quality, such as low carrier-  
to-noise ratio, into the diversity combiner. In  
20 addition, the present invention may allow the use of  
existing lower-order diversity combiners with higher-  
order multiple antenna arrays.

According to the present invention, the  
radiotelephone signal selecting means preferably  
25 selects on a basis such as highest power or signal  
quality. Preferably, the radiotelephone signal  
selecting means selects only two of the processed  
radiotelephone communications signals, to be passed on  
to a conventional two-branch combiner. The decoding  
30 means preferably comprises equalizing means, such as an  
equalizer for performing a weighted combining of the  
selected processed cellular radiotelephone signals  
according to certain characteristics, such as carrier  
to noise ratio and signal power. It will be understood  
35 that the radiotelephone receive antenna array may  
comprise at least three antenna elements, such as  
individual horizontal or vertical dipole antennas. It

- 9 -

will also be understood that the processing means may comprise beamforming means, such as beamforming hardware for producing antenna beams from an antenna array, with one or more beams covering a coverage  
5 sector.

In another aspect of the present invention, the processing means may include means for producing at least two processed first polarization radiotelephone communications signals, each representing cellular  
10 radiotelephone communications energy received from a coverage sector and having a first polarization and at least two processed second polarization radiotelephone communications signals, each representing radiotelephone communications received from a coverage  
15 sector and having a second polarization. The two polarizations preferably are orthogonal to each other, for example, horizontal and vertical.

The processed radiotelephone signal selecting means selects at least one of the at least two  
20 processed first polarization radiotelephone communications signals and at least one of the at least two processed second polarization radiotelephone communications signals. The selecting means preferably selects from the processed radiotelephone  
25 communications signals representing each polarization on a basis such as highest power or highest signal quality. The present invention thus provides for enhanced polarization diversity reception.

The cellular radiotelephone receive array may  
30 comprise at least three antenna array elements, such as dipole antennas. The four antenna array elements may comprise at least two antenna elements for receiving radiotelephone communications energy having the first polarization and at least two antenna elements for  
35 receiving radiotelephone communications energy having the second polarization.

-10-

The cellular radiotelephone signal processing means may comprise beamforming means, such as beamforming hardware for producing antenna beams from an array of antenna elements. Preferably, each beam  
5 covers a coverage sector and receives cellular radiotelephone communications energy having a particular polarization. Preferably, the coverage sector of an antenna beam having the first polarization will overlap with the coverage sector of an adjacent  
10 antenna beam having the second polarization.

A method aspect of the present invention operates a cellular radiotelephone base station for communicating with at least one cellular radiotelephone. Cellular radiotelephone communications  
15 energy, representing cellular radiotelephone communications from cellular radiotelephones, is received on a cellular radiotelephone receive antenna array. The received cellular radiotelephone communications energy is processed to produce at least  
20 three processed radiotelephone communications signals, each representing the cellular radiotelephone communications energy received from a coverage sector. At least two but less than all of the at least three processed radiotelephone communications signals are  
25 selected. The radiotelephone communications from the at least two but less than all of the at least three processed radiotelephone communications signals are decoded. Enhanced cellular radiotelephone communications are thereby provided, without the need  
30 to add undue complexity to the base station elements.

#### Brief Description of the Drawings

FIG. 1 is a schematic block diagram illustrating the basic components of a cellular communications system as in the prior art;

-11-

FIG. 2 is a schematic block diagram illustrating the functional components of a cellular communications base station as in the prior art;

FIG. 3 is a schematic block diagram illustrating the functional elements of Radio Control Group of a base station as in the prior art;

FIG. 4A is a schematic plan view illustrating an omni-directional cellular pattern as in the prior art;

FIG. 4B is a schematic plan view illustrating a sectorized cellular pattern as in the prior art;

Fig. 5 is a schematic block diagram illustrating cellular radiotelephone communications between a cellular radiotelephone communications base station and a cellular radiotelephone;

Fig. 6 is a block diagram illustrating a cellular radiotelephone base station according to the present invention;

Fig. 7 is a block diagram illustrating a cellular radiotelephone base station according to the present invention;

Fig. 8 is a block diagram illustrating a cellular radiotelephone base station including polarization diversity reception according to the present invention;

Fig. 9 is a block diagram illustrating a cellular radiotelephone base station including polarization diversity reception and beamforming according to the present invention;

Fig. 10a is a front perspective view of a cellular radiotelephone antenna array according to the present invention;

Fig. 10b is a plot of a gain pattern of a cellular radiotelephone base station including polarization diversity and beamforming according to the present invention; and

-12-

Fig. 11 is a block diagram illustrating operations for selecting processed radiotelephone signals according to the present invention.

#### Detailed Description of the Preferred Embodiments

5           The present invention now will be described more fully hereinafter with reference to the accompanying drawings, in which preferred embodiments of the invention are shown. This invention may, however, be embodied in many different forms and should  
10 not be construed as limited to the embodiments set forth herein; rather, these embodiments are provided so that this disclosure will be thorough and complete, and will fully convey the scope of the invention to those skilled in the art. Like numbers refer to like  
15 elements throughout.

Referring now to Fig. 5, cellular radiotelephone antenna array 510 at cellular radiotelephone communications base station 500 receives cellular radiotelephone communications energy 521  
20 representing cellular radiotelephone communications 501 from cellular radiotelephone 520. Cellular radiotelephone communications energy 521 is received from coverage sectors 530. It will be understood by those skilled in the art that antenna array 510 is  
25 typically employed for both reception and transmission at base station 500. It will also be understood that antenna array 510 may include multiple individually mounted antennas or subarrays spaced to achieve enhanced communications quality through spatial  
30 diversity reception.

Referring to Fig. 6, cellular radiotelephone communications signal processing means 610, responsive to received radiotelephone communications energy 601 from radiotelephone receive antenna array 510, produces  
35 at least three processed radiotelephone communications signals 611. Each processed signal 611 represents the

-13- .

cellular radiotelephone communications energy received in a particular coverage sector 530. As will be understood by those skilled in the art, a coverage sector 530 may correspond to one or more beams  
5 representing the gain of the antenna array 510 with respect to a particular processed signal 611.

Processed radiotelephone communications signal selecting means 620 selects at least two but less than all of the at least three processed cellular  
10 radiotelephone communications signals 611. Selecting means 620 preferably selects on a basis such as signal power or signal quality. It will be understood by those skilled in the art that various measures of signal power or signal quality may be used, alone or in  
15 combination, such as correlation of sync words or carrier-to-noise ratio.

Decoding means 630 decodes radiotelephone communications 501 from the at least two selected processed cellular radiotelephone communications  
20 signals 621. Preferably, decoding means 630 comprises equalizing means for combining the at least two selected processed radiotelephone communications signals 621. An example of an equalization process is described in United States Patent 5,285,480 to  
25 Chennakeshu et al, while equalization is generally described in "Digital Communications" by J. Proakis, published by McGraw-Hill, 1983. It will be understood by those skilled in the art that other means for decoding selected processed signals 621, such as  
30 switched or equal-gain combiners with two or more input branches, may be used with the present invention.

Fig. 7 illustrates a cellular radiotelephone base station including selected diversity reception according to the present invention. Antenna array 510  
35 includes four antenna array elements 710a-d. It will be understood by those skilled in the art that array elements 710 may include individual dipoles, subarrays

-14-

of dipoles or other elements, or other configurations. Processing means 620 typically comprises low-noise amplifiers 722 electrically coupled to array elements 712. Low-noise amplifiers 722 process radiotelephone communications energy 601 from antenna array 510 to produce amplified radiotelephone communications signals 713 for input into receivers 714. Receivers 714 produce processed radiotelephone communications signals 611. Selecting means 620 typically selects two processed radiotelephone communications signals 621 from processed radiotelephone communications signals 611 on a basis such as highest power or signal quality. Selected processed radiotelephone communications signals 621 are decoded by decoding means 630 to produce radiotelephone communications 501.

It will be understood by those skilled in the art that other arrangements of processing means 610, selecting means 620 and decoding means 630 may be used with the present invention. For example, all or part of decoding means 630, such as demodulation functions, may be included in processing means 610, such that selecting means 620 operates in a post-detection mode. It will also be understood by those skilled in the art that signal processing means 610, signal selecting means 620, and decoding means 630 can be discrete or combined units, general purpose processors with software, special purpose hardware, or combinations thereof.

It will be understood by those skilled in the art that enhanced communications quality may be achieved by the use of polarization diversity reception. Polarization diversity reception involves the combining of signals corresponding to received communications energy having different, preferably orthogonal, polarizations. Polarization diversity reception takes advantage of the fact that radio waves emanating from the same source but having different



-15-

polarizations generally exhibit low correlation. Polarization diversity reception compensates for polarization cross-coupling and for polarization mismatch arising from the misalignment of the antenna of a mobile unit with respect to the antenna of the base station.

Figure 8 illustrates a cellular radiotelephone base station providing for enhanced communications quality including selected polarization diversity reception according to the present invention.

Processing means 610 produces two processed cellular radiotelephone communications signals 611a representing received cellular radiotelephone communications having a first polarization, and two processed cellular radiotelephone communications signals 611b representing received cellular radiotelephone communications energy having a second polarization. Preferably, these polarizations are orthogonal, such as horizontal and vertical or right hand circular and left hand circular. Selecting means 620 selects one processed cellular radiotelephone communications signal 621a representing the first polarization and one processed cellular radiotelephone communications signal 621b representing the second polarization, preferably on a basis such as highest power or highest signal quality. Decoding means 630 decodes radiotelephone communications 501 from the selected processed signals 621a and 621b.

Cellular radiotelephone antenna array 510 may include antennas 810a for receiving radiotelephone communications energy having a first polarization and antennas 810b for receiving radiotelephone communications energy having a second polarization. For example, antennas 810a may include vertical dipoles and antennas 810b may include horizontal dipoles. It will be understood by those skilled in the art that antennas providing various coverage sectors and polarization configurations may be used with the

-16-

present invention, including those producing overlapping sectors, overlapping polarizations, alternating polarizations and combinations thereof. It will also be understood that antennas 810a and 810b may  
5 be co-located or spaced apart so as to enhance communications quality through spatial diversity reception.

It will be understood by those skilled in the art that beamforming may be used to provide a multi-  
10 beam gain pattern from an antenna array. Typically, beamforming techniques are used to produce a multi-beam pattern from an array of precisely-spaced antenna array elements. Beamforming techniques include various ways of interconnecting elements and controlling the  
15 amplitude and phase of the currents in the elements of an array. Examples of beamforming techniques are found in "Phased Array Antenna Handbook" by Robert J. Mailloux, published by Artech House, Norwood, MA, 1994. As will be understood by those skilled in the art,  
20 outputs from individual antenna elements may be combined in various ways to produce single or multiple composite outputs exhibiting any number of gain characteristics.

Fig. 9 illustrates a base station including  
25 selected polarization diversity reception and beamforming according to the present invention. Cellular radiotelephone antenna array 510 includes four antenna array elements 910a for receiving radiotelephone communications energy of a first  
30 polarization, and four antenna array elements 910b for receiving radiotelephone communications energy having a second polarization. An example of antenna array 510 is illustrated in Fig. 10a, wherein antenna array elements 901a and 910b include four vertical subarrays  
35 of vertical dipoles 912 co-located with four horizontal subarrays of dipoles 914.

-17-

Signal processing means 610 comprises beamforming means 920 and produces eight processed radiotelephone communications signals 911a and 911b from received cellular radiotelephone communications energy 601, with processed signals 911a representing cellular radiotelephone communications energy having a first polarization and processed signals 911b representing radiotelephone communications energy having a second polarization. An example of a typical composite gain pattern 560, representing the composite gain of the combination of antenna array 510 and processing means 610 with respect to processed signals 911a and 911b is shown in Fig. 10b. Horizontal polarization beams 570 preferably overlap alternatively with vertical polarization beams 580.

It will be understood by those skilled in the art that other configurations of cellular radiotelephone antenna array 510 and processing means 610 may be used with the present invention, and may produce other coverage sector and polarization patterns. For example, processing means 610 may resolve received cellular radiotelephone communications energy 601 into processed cellular radiotelephone communications signals representing other polarizations, such as circular or elliptic. It will also be understood that cellular radiotelephone antenna array 510 may comprise more antenna elements than the number of processed cellular radiotelephone communications signals produced by processing means 610 or may include antenna elements for receiving energy having polarizations other than vertical or horizontal.

Referring again to Fig. 9, processing means 610 produces four processed signals 911a representing received energy having vertical polarization and four processed signals 911b representing received energy having horizontal polarization. Selecting means 620 selects one signal 651a representing the vertical

-18-

polarization and one signal 651b representing the horizontal polarization. Preferably, each of the selected signals comprises the signals from each polarization having the highest power or signal quality.

5 Figs. 6 also illustrates operations for receiving cellular radiotelephone communications from cellular radiotelephone including selected diversity reception according to the present invention. Cellular radiotelephone communications energy 521 is received in cellular radiotelephone communications antenna array 510. The received communications energy 601 is processed in cellular radiotelephone communications processing means 610 to produce at least three processed radiotelephone communications signals 611, each representing the radiotelephone communications energy received from a coverage sector 530. At least two of the processed signals are selected in processed radiotelephone selecting means 620, preferably on a basis such as power or signal quality. The selected processed signals 621 are decoded in decoding means 640 to produce the cellular radiotelephone communications 521.

Fig. 8 illustrates operations for a cellular base station communicating with at least one cellular radiotelephone including selected polarization diversity reception according to the present invention. Radiotelephone communications energy 521 is received in cellular radiotelephone communications antenna array 510. Received radiotelephone communications energy 601 is processed in cellular radiotelephone communications processing means 610 to produce at least two processed cellular radiotelephone communications signals 611a representing received radiotelephone communications energy having a first polarization and at least two processed cellular radiotelephone communications signals 611b representing received

-19-

radiotelephone communications energy having a second polarization. In processed radiotelephone selecting means 620, at least one processed signal 621a is selected from the processed signals representing the first polarization and at least one processed signal 621b is selected from the processed signals representing the second polarization, preferably on a basis such as highest power or signal quality. The selected processed signals 621a and 621b are decoded in decoding means 630 to produce the cellular radiotelephone communications 501.

Figs. 6 and 11 illustrate operations for selecting processed radiotelephone communications for decoding in greater detail. At least one processed radiotelephone communications signal is selected in Block 750. In Block 760, characteristics of the selected signals are measured. The selecting operation of Block 750 is performed in selecting means 620, and the measuring operations of Block 760 is performed in decoding means 630. Typically, the measured characteristics will include such characteristics as signal power or signal quality. Decoding means may include equalizing means, with the measuring operations of Block 760 including an operation of determining fading coefficients as a measure of signal quality for each signal 621 in the equalizing means. The selecting and measuring steps of Blocks 750 and 760 are repeated until the characteristics of each of the processed radiotelephone communications signals are measured, as shown in Block 770. The measured characteristics of the signals are then compared in Block 780 and the signals having the most desirable characteristics are selected and decoded in decoding means 630 to produce cellular radiotelephone communications 501. It will be understood by those skilled in the art that the measuring operations of Block 760 may include determination of signal characteristics other than

-20-

signal power or signal quality. It will also be understood that measurements of signal quality may include other characteristics, such as sync word correlation, instead of or in addition to fading  
5 coefficients.

In the drawings and specification, there have been disclosed typical preferred embodiments of the invention and, although specific terms are employed, they are used in a generic and descriptive sense only  
10 and not for purposes of limitation, the scope of the invention being set forth in the following claims.

-21-

THAT WHICH IS CLAIMED:

1. A cellular radiotelephone base station for communicating with at least one cellular radiotelephone in a cellular radiotelephone communications system, said cellular radiotelephone  
5 base station comprising:  
a cellular radiotelephone antenna array for receiving cellular radiotelephone communications energy representing cellular radiotelephone communications from cellular radiotelephones;  
10 cellular radiotelephone communications processing means, responsive to said cellular radiotelephone antenna array, for processing the received cellular radiotelephone communications energy to produce at least three processed radiotelephone  
15 communications signals, each of said at least three processed radiotelephone communications signals representing cellular radiotelephone communications energy received from a coverage sector;  
processed radiotelephone signal selecting  
20 means, responsive to said cellular radiotelephone communications processing means, for selecting at least two but less than all of said at least three processed radiotelephone communications signals; and  
decoding means, responsive to said processed  
25 radiotelephone signal selecting means, for decoding said radiotelephone communications from said at least two but not all of said at least three processed radiotelephone communications signals.

2. A cellular radiotelephone base station  
30 according to Claim 1 wherein said selecting means comprises means for selecting at least two but less than all of said at least three processed radiotelephone communications signals having highest power.

-22-

3. A cellular radiotelephone base station according to Claim 1 wherein said selecting means comprises means for selecting at least two but less than all of said at least three processed  
5 radiotelephone communications signals having highest signal quality.

4. A cellular radiotelephone base station according to Claim 1 wherein said decoding means comprises equalizing means.

10 5. A cellular radiotelephone base station according to Claim 1 wherein said radiotelephone communications signal processing means comprises beamforming means.

15 6. A cellular radiotelephone base station according to Claim 1 wherein said cellular radiotelephone antenna array comprises at least three antenna elements.

7. A cellular radiotelephone base station according to Claim 1:  
20 wherein said selecting means comprises means for selecting only two of said at least three processed radiotelephone communications signals; and  
wherein said decoding means comprises means for decoding said radiotelephone communications signals  
25 from said only two of said at least three processed radiotelephone communications signals.

8. A cellular radiotelephone base station according to Claim 1:  
wherein said cellular radiotelephone . . .  
30 communications processing means comprises means for processing the received cellular radiotelephone communications energy to produce at least two processed



-23-

first polarization radiotelephone communications signals and at least two processed second polarization radiotelephone communications signals, each of said processed first polarization radiotelephone  
5 communications signals representing cellular radiotelephone communications energy received from a coverage sector and having a first polarization and each of said processed second polarization radiotelephone communications signals representing  
10 cellular radiotelephone communications energy received from a coverage sector and having a second polarization;

wherein said processed radiotelephone signal selecting means comprises means for selecting at least  
15 one but less than all of said at least two processed first polarization radiotelephone communications signals and at least one but less than all of said at least two processed second polarization radiotelephone communications signals; and

20 whereing said decoding means comprises means for decoding said radiotelephone communications from said at least one but less than all of said at least two processed first polarization radiotelephone communications signals and said at least one but less  
25 than all of said at least two processed second polarization radiotelephone communications signals.

9. A cellular radiotelephone base station according to Claim 8 wherein said selecting means comprises means for selecting at least one but less  
30 than all of said at least two processed first polarization radiotelephone communications signals having highest power and at least one but less than all of said at least two processed second polarization radiotelephone communications signals having highest  
35 power.

-24-

10. A cellular radiotelephone base station according to Claim 8 wherein said selecting means comprises means for selecting at least one but less than all of said at least two processed first polarization radiotelephone communications signals having highest signal quality and at least one but less than all of said at least two processed second polarization radiotelephone communications signals having highest signal quality.
11. A cellular radiotelephone base station according to Claim 8 wherein said decoding means comprises equalizing means.
12. A cellular radiotelephone base station according to Claim 8 wherein said radiotelephone communications signal processing means comprises beamforming means.
13. A cellular radiotelephone base station according to Claim 8 wherein said cellular radiotelephone antenna array comprises at least three antenna elements.
14. A cellular radiotelephone base station according to Claim 8:  
wherein said selecting means comprises means for selecting only one of said at least two processed first polarization radiotelephone communications signals and only one of said at least two processed second polarization radiotelephone communications signals; and  
wherein said decoding means comprises means for decoding said radiotelephone communications signals from said only one of said at least two processed first polarization radiotelephone communications signals and

-25-

said only one of said at least two processed second polarization radiotelephone communications signals.

15. A cellular radiotelephone base station according to Claim 8 wherein said first polarization  
5 and said second polarization are orthogonal.

16. A method for operating a cellular base station for communicating with at least one cellular radiotelephone, the method comprising the steps of:  
receiving cellular radiotelephone  
10 communications energy representing cellular radiotelephone communications from cellular radiotelephones;  
processing said received cellular radiotelephone communications energy to produce at  
15 least three processed radiotelephone communications signals, each of said at least three processed radiotelephone communications signal representing cellular radiotelephone communications energy received from a coverage sector;  
20 selecting at least two but less than all of said at least three processed radiotelephone communications signals; and  
decoding said radiotelephone communications from said at least two but not all of said at least  
25 three processed radiotelephone communications signals.

17. A method according to Claim 16 wherein said step of selecting comprises the step of selecting at least two but less than all of said at least three processed radiotelephone communications signals having  
30 highest power.

18. A method according to Claim 16 wherein said step of selecting comprises the step of selecting at least two but less than all of said at least three

-26-

processed radiotelephone communications signals having highest signal quality.

19. A method according to Claim 16:

5 wherein said step of selecting comprises the step of selecting only two of said at least three processed radiotelephone communications signals; and wherein said step of decoding comprises the step of decoding said cellular radiotelephone communications signals from said only two of said at  
10 least three processed radiotelephone communications signals.

20. A method according to Claim 16 wherein said step of decoding comprises the step of equalizing.

21. A method according to Claim 16:

15 wherein said step of processing comprises the step of producing at least two processed cellular radiotelephone communication signals representing received cellular radiotelephone communications energy having a first polarization and at least two processed  
20 cellular radiotelephone communications signals representing the received cellular radiotelephone communications energy having a second polarization; and wherein said step of selecting comprises the step of selecting at least one of said at least two  
25 processed radiotelephone communications signals representing the received cellular radiotelephone communications energy having the first polarization and at least one of said at least two processed radiotelephone communications signals representing the  
30 received cellular radiotelephone communications energy having the second polarization; and wherein said step of decoding comprises the step of decoding said cellular radiotelephone communications from said at least one of said at least

-27-

two processed radiotelephone communications signals representing the received cellular radiotelephone communications energy having the first polarization and said at least one of said at least two processed  
5 radiotelephone communications signals representing the received cellular radiotelephone communications energy having the second polarization.

22. A method according to Claim 21 wherein said step of selecting comprises the step of selecting  
10 at least one of said at least two processed radiotelephone communications signals representing the received cellular radiotelephone communications energy having the first polarization having highest power and at least one of said at least two processed  
15 radiotelephone communications signals representing the received cellular radiotelephone communications energy having said second polarization having highest power.

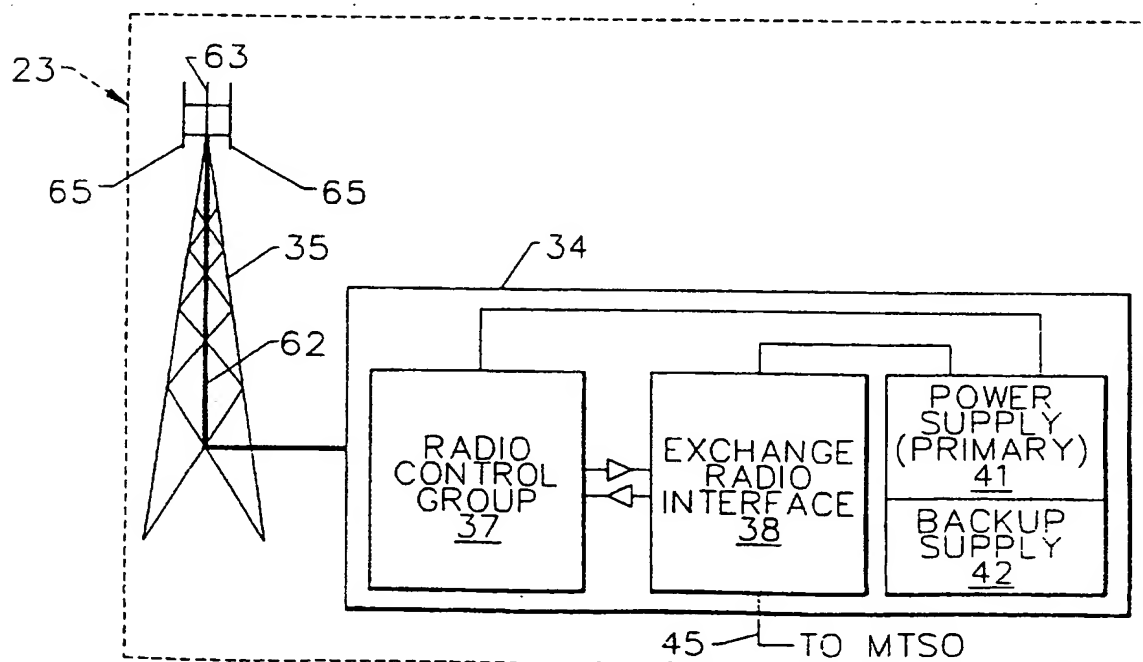
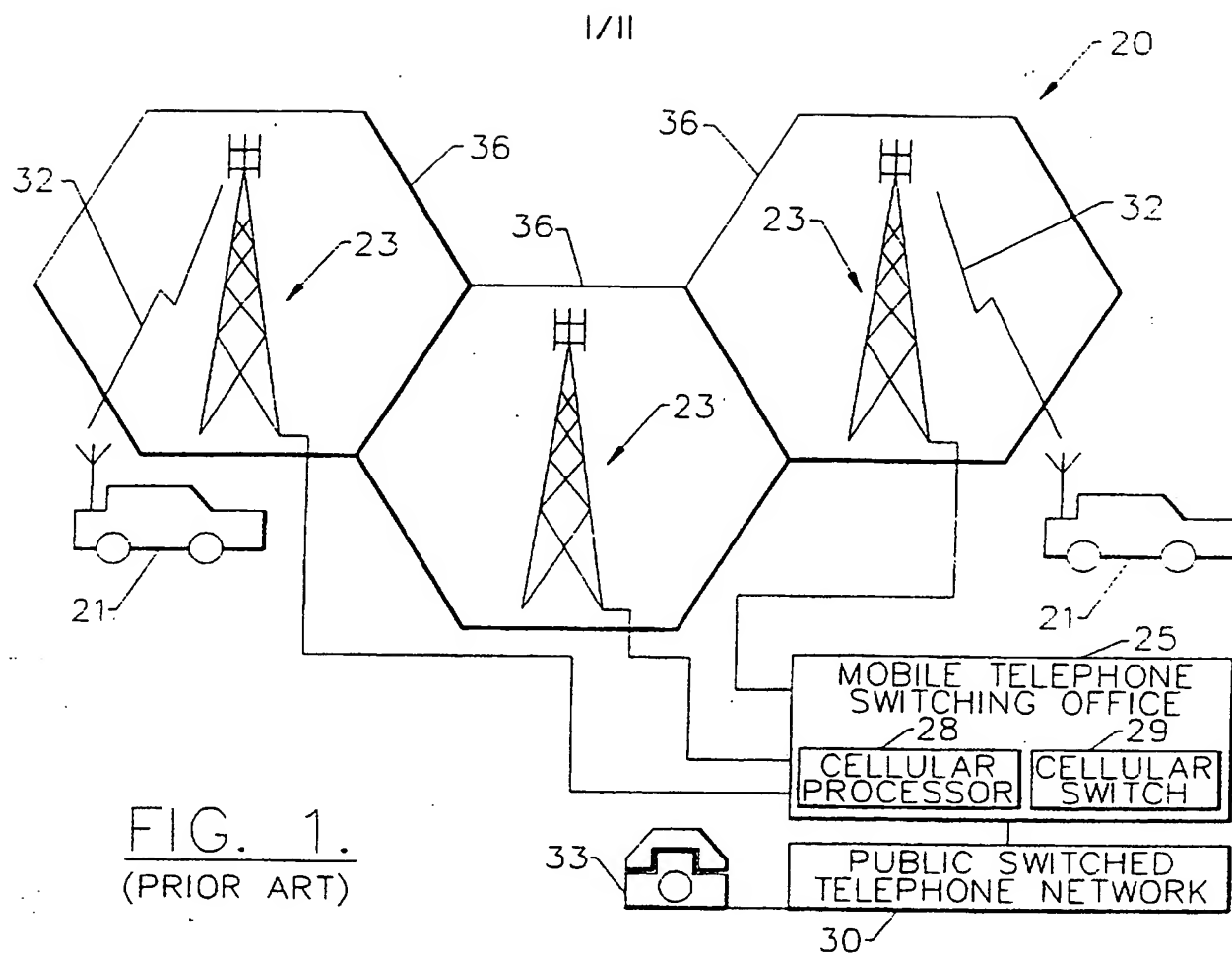
23. A method according to Claim 21 wherein said step of selecting comprises the step of selecting  
20 at least one of said at least two processed radiotelephone communications signals representing the received cellular radiotelephone communications energy having the first polarization having highest signal quality and at least one of said at least two processed  
25 radiotelephone communications signals representing the received cellular radiotelephone communications energy having the second polarization having highest signal quality.

24. A method according to Claim 21:  
30 wherein said step of selecting comprises the step of selecting only one of said at least two processed radiotelephone communications signals representing the received cellular radiotelephone communications energy having the first polarization and

-28-

only one of said at least two processed radiotelephone communications signals representing the received cellular radiotelephone communications energy having the second polarization; and

- 5                wherein said step of decoding comprises the step of decoding said cellular radiotelephone communications from said only one of said at least two processed radiotelephone communications signals representing the received cellular radiotelephone
- 10 communications energy having the first polarization and said only one of said at least two processed radiotelephone communications signals representing the received cellular radiotelephone communications energy having the second polarization.



2/11

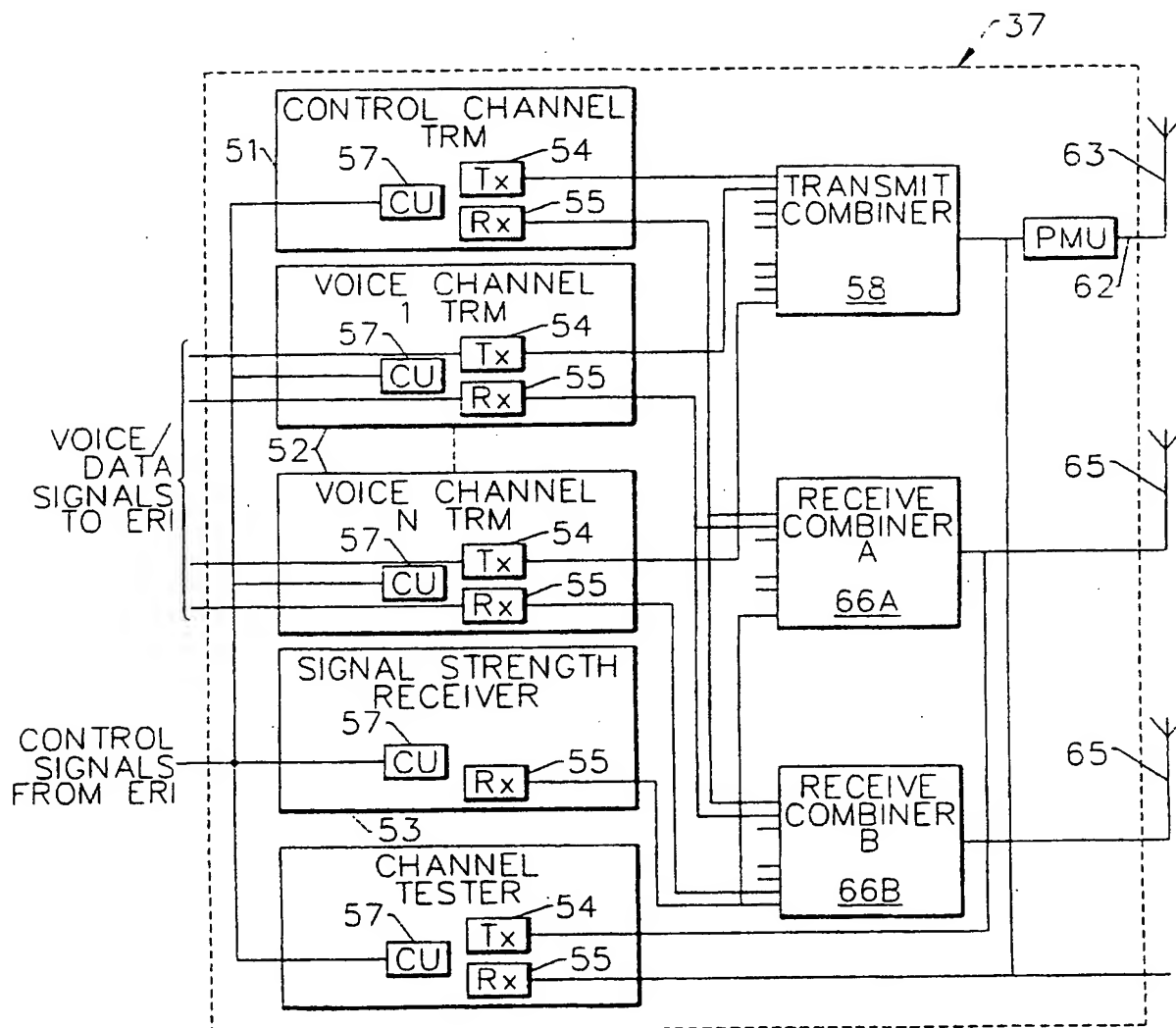
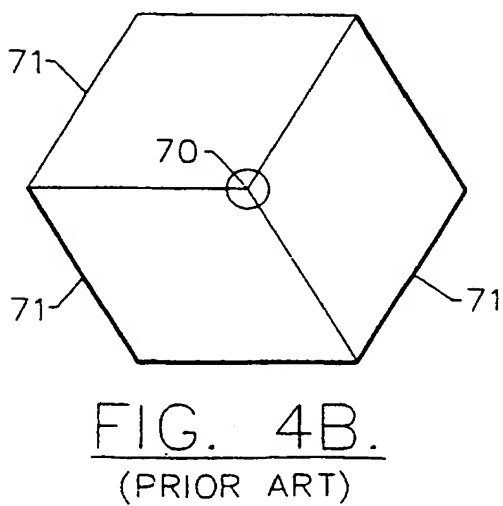
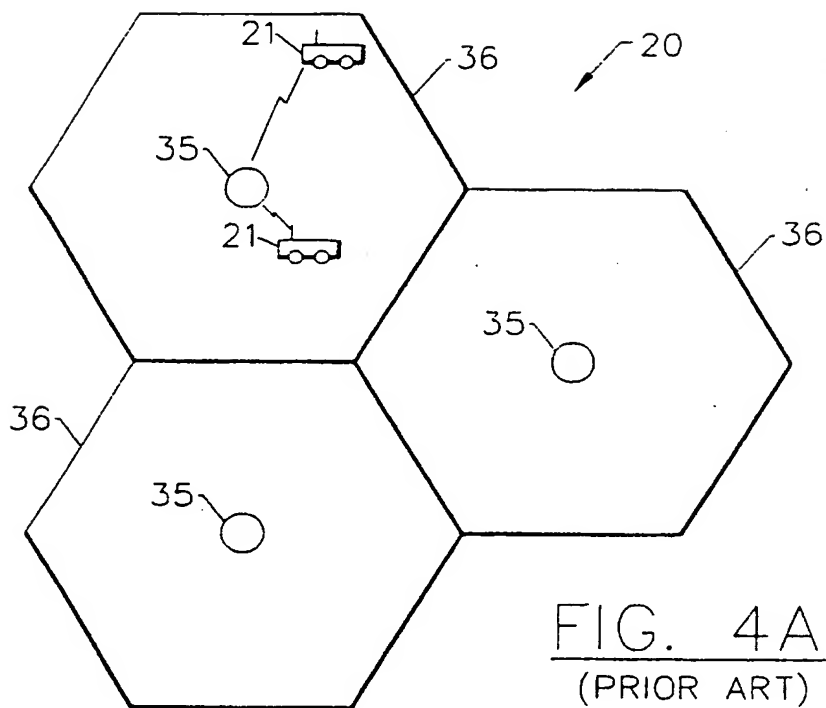


FIG. 3.  
(PRIOR ART)

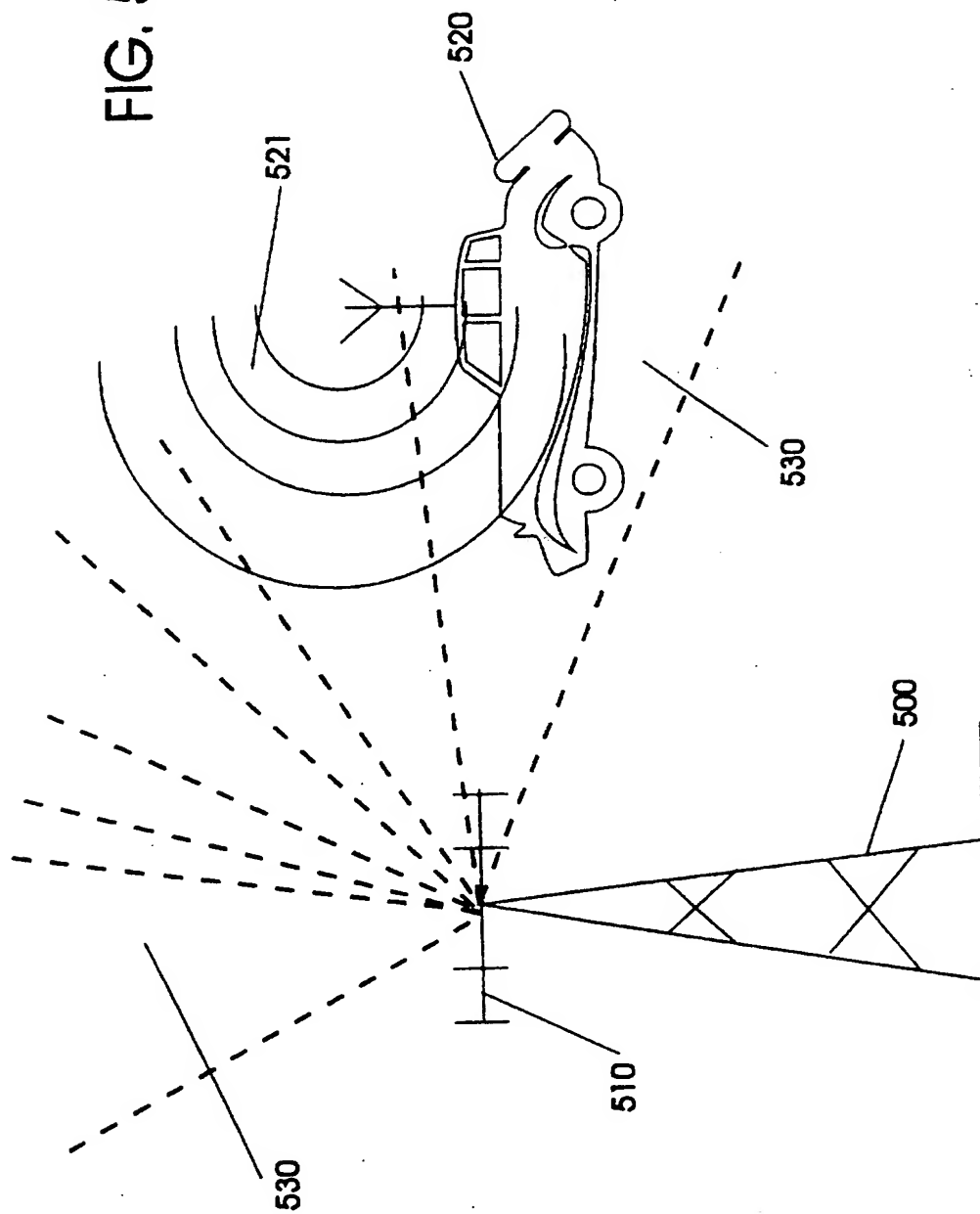


3/11



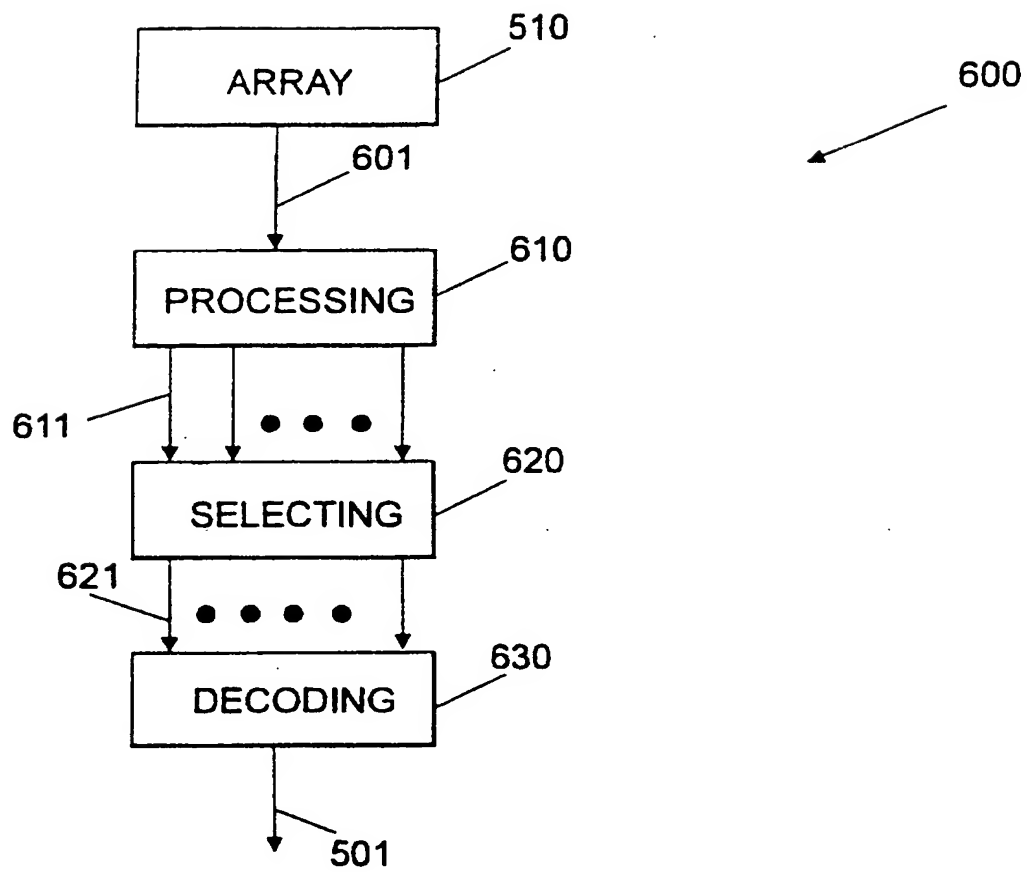
4/11

FIG. 5



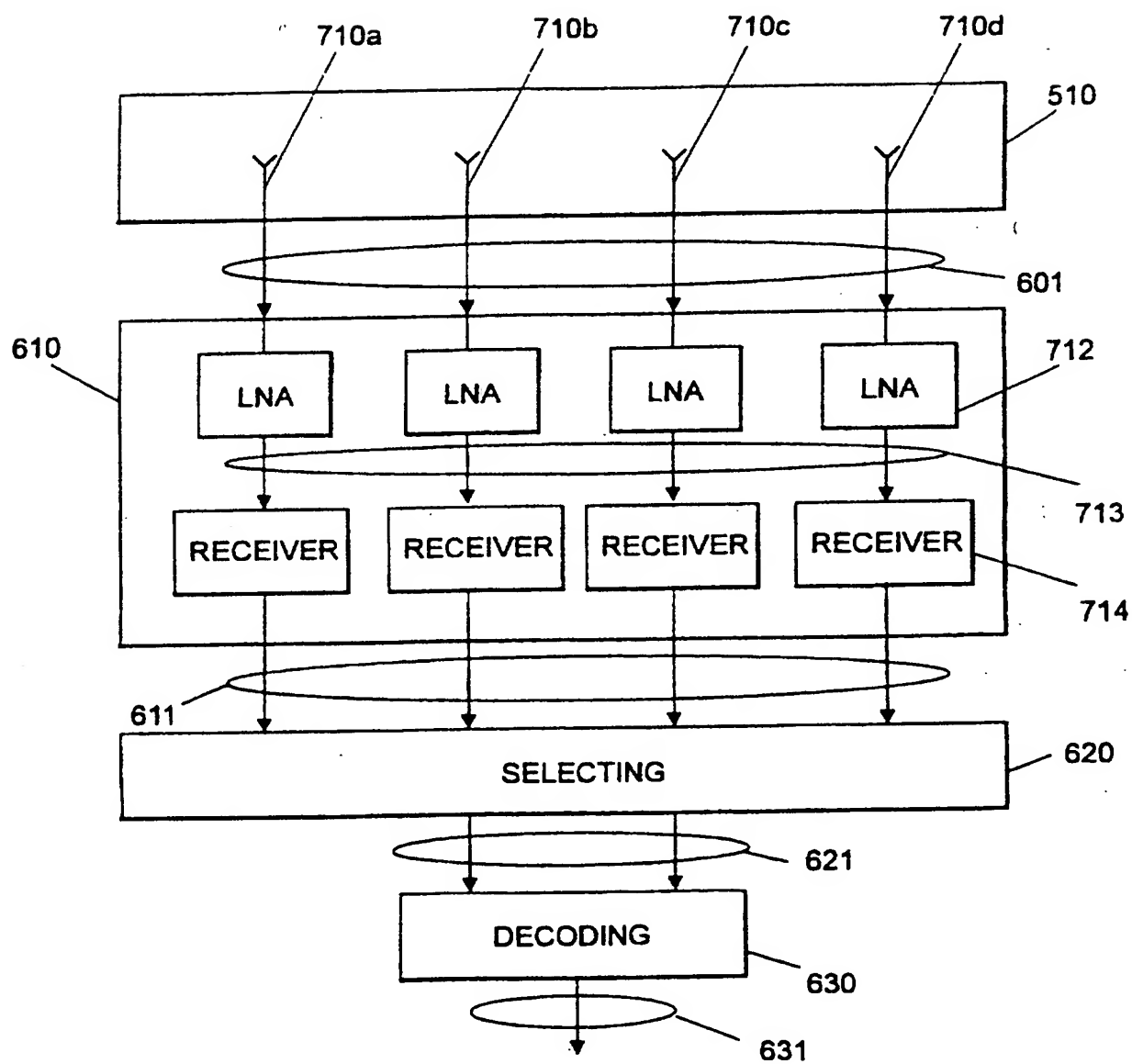
5/11

FIG. 6



6/11

FIG. 7



7/11

FIG. 8

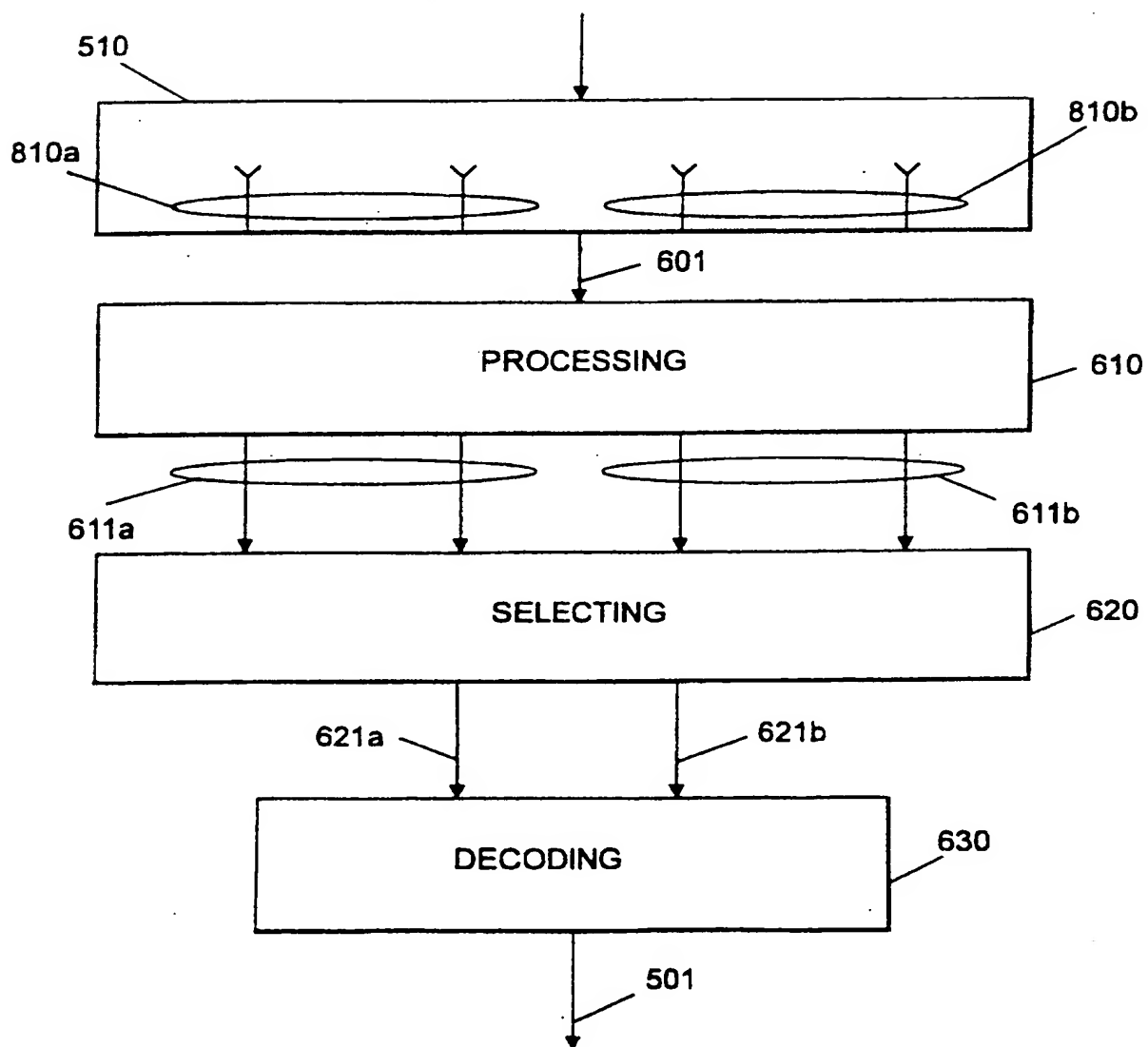
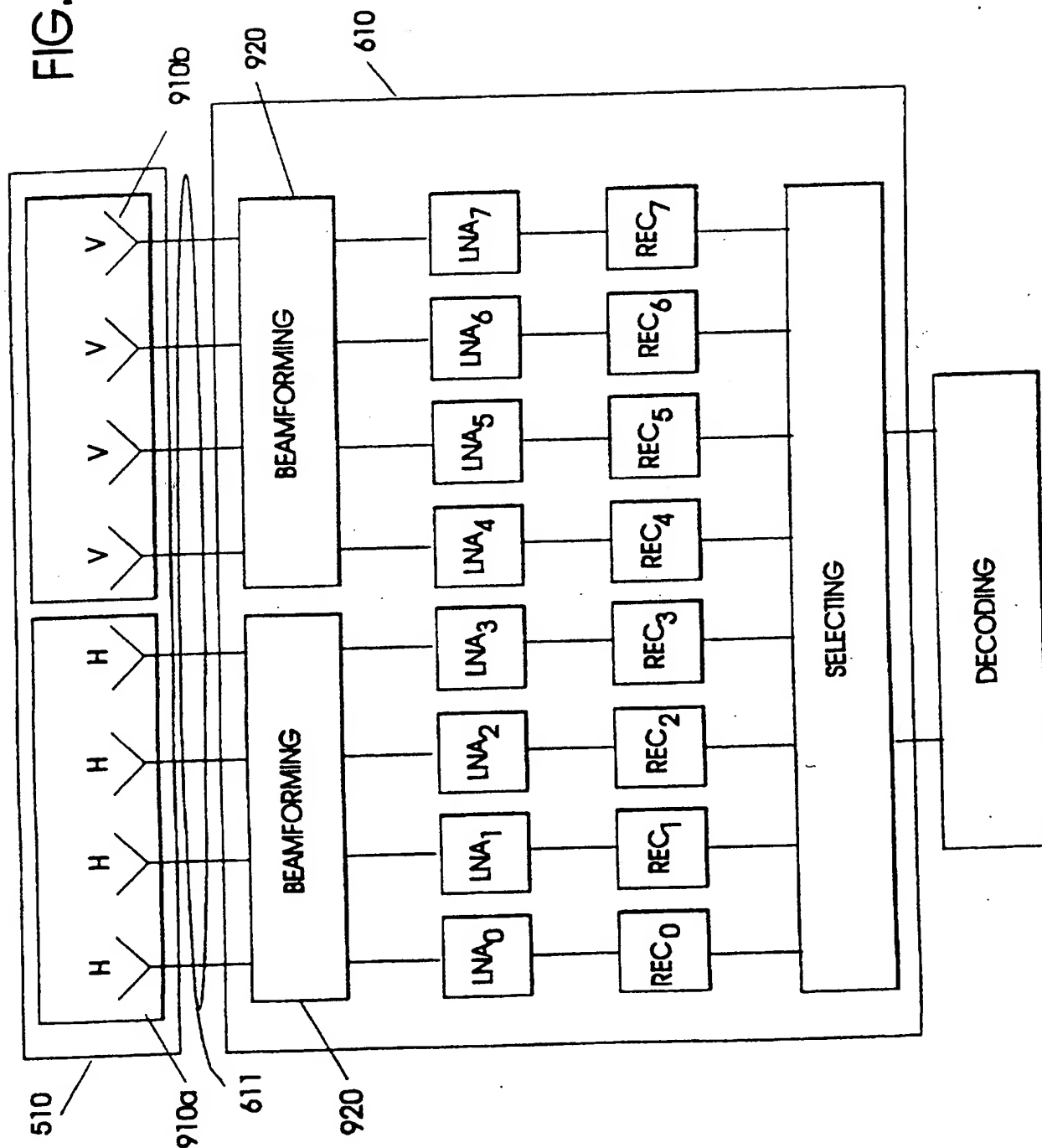
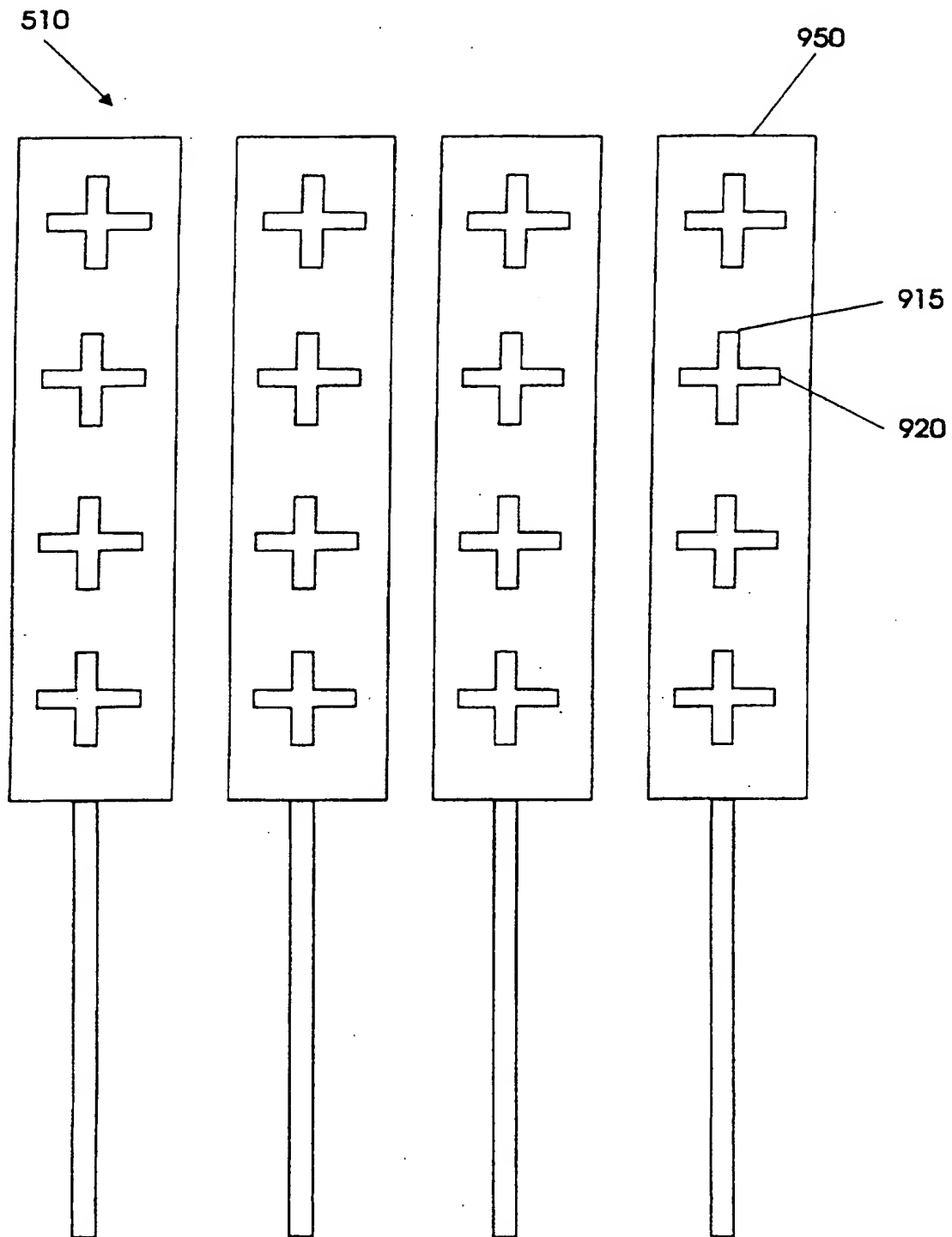


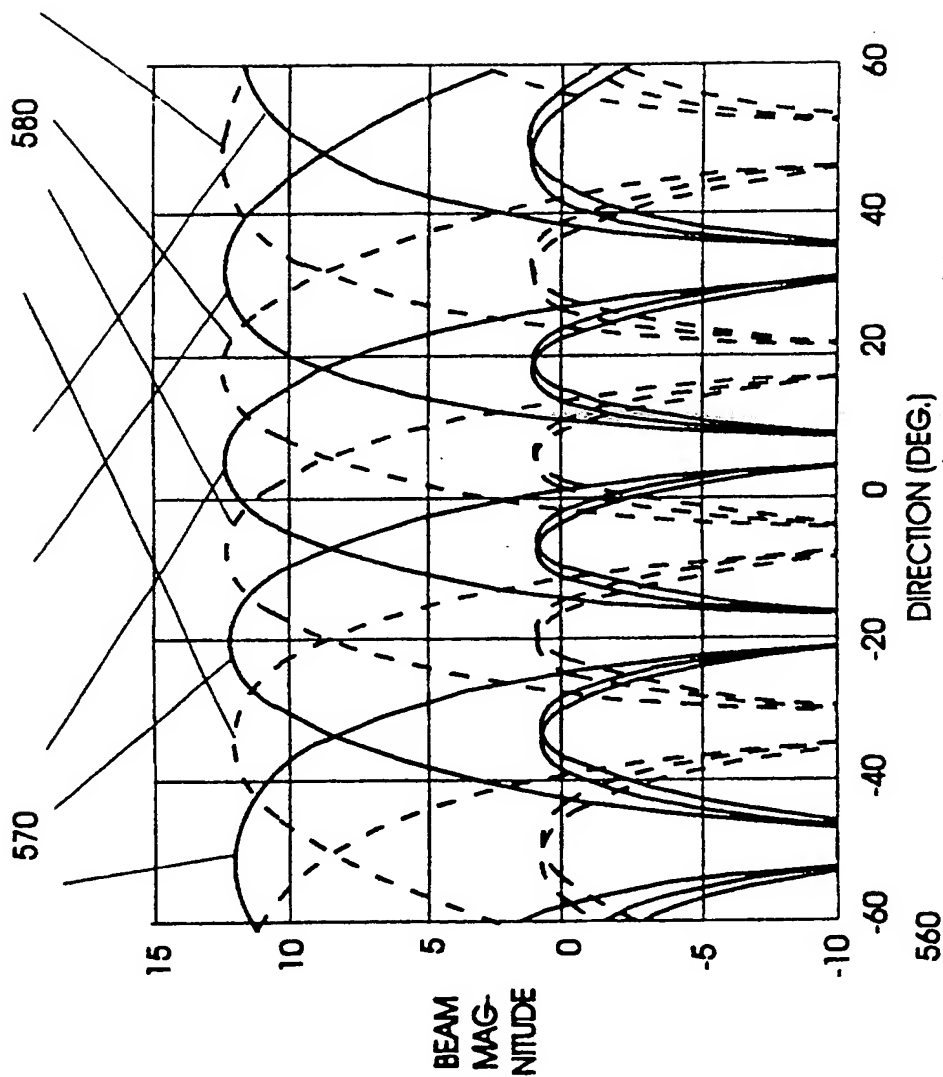
FIG. 9



9/11  
FIG. 10a

10/11

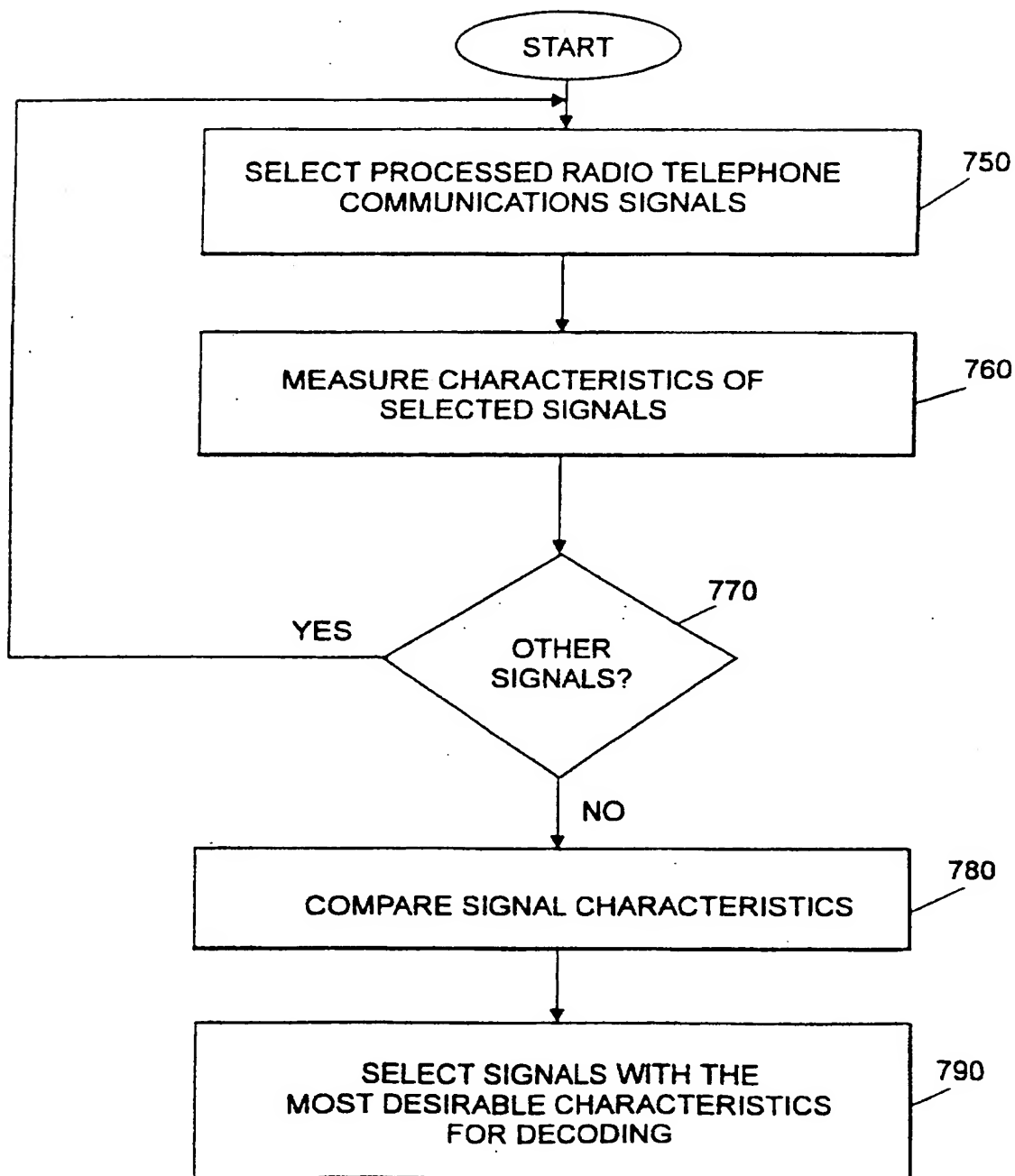
FIG. 10b





II/II

FIG. 11



# INTERNATIONAL SEARCH REPORT

Internat. Application No.  
PCT/US 97/00592

A. CLASSIFICATION OF SUBJECT MATTER  
IPC 6 H04B7/08 H04B7/10

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 H04B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 95 33312 A (MOTOROLA INC) 7 December 1995	1-24
Y	* abstract * see page 1, line 20 - page 3, line 2 see page 3, line 23 - line 30 see page 4, line 14 - page 5, line 2 see page 5, line 26 - page 6, line 12 see page 6, line 30 - page 7, line 8 see claims 1,8-10 see figure 1  --- -/--	2,5,9, 10,12,22

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

\* Special categories of cited documents :

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

\*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

\*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

\*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

\*Z\* document member of the same patent family

Date of the actual completion of the international search

23 May 1997

Date of mailing of the international search report

17.06.97

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+ 31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+ 31-70) 340-3016

Authorized officer

Lopez Marquez, T

## INTERNATIONAL SEARCH REPORT

Internat'l Application No  
PCT/US 97/00592

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	IEEE TRANSACTIONS ON COMMUNICATIONS, OCT. 1972, USA, vol. COM-20, no. 5, ISSN 0090-6778, pages 912-923, XP002031485 LEE W C Y ET AL: "Polarization diversity system for mobile radio" * abstract * see page 912, left-hand column, line 1 - page 915, left-hand column, line 16 see figure 1	4,8,10, 11, 13-15, 20,21, 23,24
Y	--- PATENT ABSTRACTS OF JAPAN vol. 095, no. 005, 30 June 1995 & JP 07 050626 A (CLARION CO LTD), 21 February 1995, see abstract	2,9,10, 22
P,Y	--- US 5 581 260 A (NEWMAN EDWARD M) 3 December 1996 * abstract * see column 2, line 16 - line 59 see column 11, line 62 - column 12, line 40 see figure 1	5,12
A	--- EP 0 620 657 A (MATSUSHITA ELECTRIC IND CO LTD) 19 October 1994 * abstract * see page 2, line 11 - page 3, line 2 see page 4, line 46 - page 5, line 1 see page 6, line 9 - line 15 see claim 1 see figure 5	1,16
P,Y	--- WO 96 36136 A (ERICSSON GE MOBILE INC ;DENT PAUL WILKINSON (SE)) 14 November 1996  * abstract * see page 2, line 11 - page 3, line 2 see page 6, line 27 - page 8, line 8 see page 10, line 3 - line 12 see page 16, line 3 - line 24 see claims 1,2 see figure 6  -----	4,8,10, 11, 13-15, 20,21, 23,24

# INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 97/00592

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO 9533312 A	07-12-95	CA 2166882 A EP 0712550 A FI 960241 A JP 9501299 T	07-12-95 22-05-96 18-01-96 04-02-97
US 5581260 A	03-12-96	EP 0753210 A FI 963844 A WO 9623328 A	15-01-97 26-09-96 01-08-96
EP 0620657 A	19-10-94	JP 6303220 A JP 6303218 A CA 2121448 A US 5561673 A	28-10-94 28-10-94 17-10-94 01-10-96
WO 9636136 A	14-11-96	AU 5728796 A	29-11-96